

AC simplifications and closure redundancy in the superposition calculus

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Introduction

First-order automated theorem provers are powerful tools for **general-purpose problem solving**, with many applications:

- Mathematics,
- Software verification, hardware verification,
- Knowledge-base reasoning and ontologies,
- Routines in higher-order provers
- Etc.

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- Etc.

Main advantage

- Very general and expressive

Disadvantage

- Struggles in certain domains and even in simple problems

Associativity-commutativity

A binary function ‘+’ is associative-commutative (AC) if

$$x + y \approx y + x \quad (x + y) + z \approx x + (y + z)$$

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(more precisely, there are $n! \times \left(\frac{(2n-2)!}{(n-1)!n!}\right)^2$ equations for n variables)

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Limitations: known proofs apply to Knuth-Bendix completion (i.e. unit equality only) and are based on the technique of proof orderings.

There existed no proof for full clausal first-order logic, up to now.

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- New notion of *closure redundancy*, which can be used to justify AC simplifications;
- Proof that the superposition calculus is refutationally complete wrt. “saturation up to closure redundancy”;
- As corollaries, that ground joinability is a redundancy for the superposition calculus, as well as stronger AC normalisation and encompassment demodulation;
- That the proof also opens up the door for further AC simplifications in the future (currently being researched)

Superposition

Superposition is comprised of the following inference rules:

Superposition

$$\frac{l \approx r \vee C \quad s[u] \approx t \vee D}{(s[u \mapsto r] \approx t \vee C \vee D)\theta}, \quad \begin{array}{l} \text{where } \theta = \text{mgu}(l, u), \\ l\theta \not\preceq r\theta, s\theta \not\preceq t\theta, \\ \text{and } s \text{ not a variable,} \end{array}$$

Eq. Resolution

$$\frac{s \not\approx t \vee C}{C\theta}, \quad \text{where } \theta = \text{mgu}(s, t),$$

Eq. Factoring

$$\frac{s \approx t \vee s' \approx t' \vee C}{(s \approx t \vee t \not\approx t' \vee C)\theta}, \quad \begin{array}{l} \text{where } \theta = \text{mgu}(s, s'), \\ s\theta \not\preceq t\theta \text{ and } t\theta \not\preceq t'\theta, \end{array}$$

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These rules, when applied exhaustively, are **refutationally complete**: if a set of clauses is unsatisfiable then there is a refutation in finite steps, if it is satisfiable, it may stop or loop forever.

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Demodulation

$$\frac{l \approx r \quad C[l\theta]}{C[l\theta \rightarrow r\theta]}, \quad \text{where } l\theta \succ r\theta, \quad \text{and } l\theta \approx r\theta \prec C[l\theta]$$

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Define saturation thus:

- A set is saturated if there are no inferences with premises in the set whose conclusion is not also in the set.

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Sketch (given a **saturated-up-to-redundancy** set of clauses S):

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Redundant clause (in S)

All ground instances follow from smaller clauses in G .

Redundant inference (in S)

For all ground instances, conclusion follows from clauses in G smaller than the maximal premise.

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Solution: refine the notion of ground instance to ground closure, and define an ordering where **more general is smaller**.

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Closure:

Pair of term/literal/clause and grounding substitution: $t \cdot \theta$.

Example: of $f(x, b)$, instance $f(a, b)$ becomes $f(x, b) \cdot x/a$.

Ordering: $s \cdot \sigma \succ_{tc} t \cdot \rho$ iff either $s\sigma \succ_t t\rho$ or else $s\sigma = t\rho$ and $s \sqsupseteq t$

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Must be \succ_{cc} than deleted clause

$$(f(b) + (a + c) \approx c) \cdot id \succ_{cc} (x + (y + z) \approx y + (x + z)) \cdot [x/f(b), y/a, z/c]$$

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- Prove by induction: this interpretation is a model for G .

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Closure redundant clause (in S)

All **ground closures** follow from smaller **closures** in G .

Closure redundant inference (in S)

All **ground closures** of the conclusion follow from **closures** in G smaller than the maximal **ground closure** of the premises.

Superposition — Model construction

Theorem

The superposition inference system is refutationally complete up to closure redundancy.

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This is nontrivial!

Simplifications — AC joinability

We can now justify the following AC redundancies. Let AC_f be

$$xy \approx yx \quad (xy)z \approx x(yz) \quad x(yz) \approx y(xz)$$

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$$\text{AC joinability (pos)} \quad \frac{s \approx t \vee C \quad AC_f}{}, \quad \text{where } s \downarrow_{AC_f} t \text{ and } s \approx t \vee C \notin AC_f$$

$$\text{AC joinability (neg)} \quad \frac{s \not\approx t \vee C \quad AC_f}{C}, \quad \text{where } s \downarrow_{AC_f} t$$

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Corollary 1

AC joinability is a simplification rule in the superposition calculus.

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that is, we can delete/simplify any equation/inequation where both sides are equal modulo AC. **Includes all inferences between AC_f !**

Simplifications — AC normalisation

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AC normalisation
$$\frac{C[t_1(\dots t_n)] \quad AC_f}{C[t'_1(\dots t'_n)]}, \quad \text{where } t_1, \dots \succ_{\text{lex}} t'_1, \dots \text{ and } \{t_1, \dots\} = \{t'_1, \dots\}$$

Corollary 2

AC normalisation is a simplification rule in the superposition calculus.

Advantages: more redundant clauses discarded vs demodulation, faster implementation since we don't need to store prolific AC axioms in indices.

Simplifications — Encompassment demodulation

We have also improved the constraints for demodulation:

Demodulation

$$\frac{l \approx r \ C[l\theta]}{C[l\theta \rightarrow r\theta]}, \quad \begin{aligned} &\text{where } l\theta \succ r\theta \\ &\text{and } l\theta \approx r\theta \prec C[l\theta]. \end{aligned}$$

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Corollary 3

Encompassment demodulation is a simplification rule in the superposition calculus.

This enables demodulation at more places than before (irrespective of AC), and also faster implementation.

Simplifications — Further work

More rules are under investigation, enabled by the theoretical proof of completeness up to closure redundancy.

- Extensions of AC (AC + inverses, AC + idempotence, etc.)
- AC demodulation
- ...

Implementation

iProver is an automated, first-order theorem prover.

- Implements several calculi (Inst-Gen, superposition) and several strategies for running them, many advanced techniques
- Can run in auto mode, but can also be extensively customised
- Free software (GPL), written in OCaml
- Good performance (2nd place in FOF, FNT, LTB at CASC 2021, winner in parallel single-query at SMTCOMP 2021)



Thank you